



010804

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. **R0159B-REG**First Inventor **Joseph Armstrong Martin**Title **Methods and Compositions for Treating Viral Diseases**Express Mail Label No. **EH 814 667 670 US**U.S. PTO  
010804  
07/53840**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification **[Total Pages 22]**  
(preferred arrangement set forth below)  
 - Descriptive title of the Invention  
 - Cross Reference to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to sequence listing, a table, or a computer program listing appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (if filed)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C.113) **[Total Sheets 1]**

5. Oath or Declaration **[Total Sheets 2]**  
 a.  Newly executed (copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
 (for a continuation/divisional with Box 18 completed)  
 i.  **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
 a.  Computer Readable Form (CRF)  
 b. Specification Sequence Listing on:  
 i.  CD-ROM or CD-R (2 copies); or  
 ii.  paper  
 c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 C.F.R. 3.73(b) Statement  Power of (when there is an assignee) Attorney

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449.  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)  
 Prior application information: Examiner \_\_\_\_\_
of prior application No: \_\_\_\_\_ / \_\_\_\_\_  
Art Unit: \_\_\_\_\_**Claim for Benefit of Provisional Application(s):**

This Application claims the benefit under title 35 U.S.C. 119(e) of U.S. Provisional Application No. 60/438,878 filed January 9, 2003.

**19. CORRESPONDENCE ADDRESS**
 Customer Number or Bar Code Label **24372**  Correspondence address below  
 (Insert Customer No. or Attach bar code label here)

Name	Grant D. Green ROCHE PALO ALTO LLC						
Address	Patent Law Dept., M/S A2-250 3431 Hillview Avenue						
	City		Palo Alto	State	CA	Zip Code	94304
Country	U.S.A.		Telephone	650/ 855-5311		Fax	650/ 855-5322

Name (Print/Type)	Brian L. Buckwalter, ph. 650/855-6995		Registration No.	46,585
Signature			Date	January 8, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Doc. #123281

# FEE TRANSMITTAL for FY 2004

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 770.00)

Complete if Known	
Application Number	New Application
Filing Date	herewithin
First Named Inventor	<b>Joseph Armstrong Martin</b>
Examiner Name	
Art Unit	
Attorney Docket No.	<b>R0159B-REG</b>

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money  Other  None  
 Order

Deposit Account:

Deposit Account Number **18-1700**

Deposit Account Name **Roche Palo Alto LLC  
3431 Hillview Avenue  
Palo Alto, CA 94304**

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee  
 to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	Fee (\$)
1001	770	Utility filing fee	<b>770.00</b>
1002	330	Design filing fee	
1003	520	Plant filing fee	
1004	750	Reissue filing fee	
1005	160	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$ 770)</b>

### 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
12	2		-20 **	= 0	x 18 = 0.
			-3 **	= 0	x 86 = 0
				x	= 0

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	Fee (\$)
1202	18	Claims in excess of 20
1201	86	Independent claims in excess of 3
1203	280	Multiple dependent claim, if not paid
1204	84	** Reissue independent claims over original patent
1205	18	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$ .00)</b>

\*\*or number previously paid, if greater; For Reissues, see above

### SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Brian L. Buckywalter	Registration No.	46,585	Telephone	650/855-6995
Signature	<i>Brian Buckywalter</i>			Date	January 8, 2004